



## ***Probation Service Definition***

ADMINISTRATIVE OFFICE OF PROBATION

<b>SERVICE NAME</b>	<b>Co-Occurring Evaluation</b> <span style="float: right;"><input checked="" type="checkbox"/> <b>Adult</b> <input type="checkbox"/> <b>Juvenile</b></span>
<b>Category</b>	Evaluation
<b>Setting</b>	Professional office environment, clinic, mental health substance use center, private practice, teleservice or other setting appropriate to the provision of evaluation/assessment services.
<b>Facility License</b>	As required by the Nebraska Department of Health and Human Services (DHHS) – Division of Public Health.
<b>Service Description</b>	A Co-Occurring Evaluation is a clinical, strengths-based evaluation of an individual experiencing co-occurring substance use and mental health symptoms. The Co-Occurring Evaluation is a process of gathering information to assess client functioning and needs based on identification of the presenting problem, evaluation of mental status, evaluation of substance use, formulation of a diagnostic impression (as applicable) and recommendation for treatment services/strategies. The Co-Occurring Evaluation will include a mental health diagnostic impression (as applicable), a substance use diagnostic impression (as applicable), history of mental health symptoms, trauma history/symptoms, criminogenic risk, risk of harm to self and/or others, the individual’s perception of the situation and collateral information. Based on the evaluation, recommendations are provided and justified for appropriate behavioral health services and treatment. The results of the Simple Screening Instrument (SSI) should be included in the written narrative report. The Co-Occurring Evaluation may include a recommendation for further psychological testing, if supported.
<b>Service Expectations</b>	<p>A culturally sensitive evaluation completed by an appropriately licensed behavioral health professional that includes, at minimum: a mental health diagnostic impression (as applicable), a substance use diagnostic impression (as applicable), clinical impressions and recommendations for behavioral health services. Referral to appropriate mental health, substance use or co-occurring services based on individual need.</p> <p>A Co-Occurring Evaluation will include these areas during the clinical interview and in the narrative report:</p> <ul style="list-style-type: none"> <li>• Demographics</li> <li>• Presenting problem/primary complaint, including external leverage to seek the evaluation, when it was first recommended and what led the client to schedule the evaluation</li> <li>• Medical history</li> </ul>

	<ul style="list-style-type: none"> <li>• Work/school/military history</li> <li>• Alcohol/drug history summary, including frequency and amount, substance(s) of choice, history of use, patterns of use, consequences of use, periods of abstinence, tolerance level, withdrawal history/potential, influence of living situation on use, other compulsive behaviors (gambling, etc.), history of intravenous use, previous evaluations/recommendations and past treatment episodes</li> <li>• Legal history, including history of charges/convictions, drug testing results and results of the SSI and SRARF completed by Probation</li> <li>• Family/social/peer history</li> <li>• Psychiatric/behavioral history, including past diagnoses, treatment episodes, medication and or psychiatric hospitalizations</li> <li>• Collateral information from at least two (2) sources</li> <li>• Other diagnostic/screening tools used and results</li> <li>• ASAM multidimensional assessment indicating severity in each of the six dimensions and explaining the severity ranking</li> <li>• Initial diagnostic interview including a mental status exam and outlining general appearance, motor level, speech patterns, affect, thought content, thought processes, perception, global evaluation of intellect and insight</li> <li>• Clinical impression, including summary of the evaluation interview, mental health and/or substance use diagnostic impressions (as appropriate), strengths and problems identified</li> <li>• Recommendations, including identifying the primary/ideal level of care, barriers to the recommendations/available level of care, client/family response to the recommendations, identification of who needs to be involved in the client’s treatment, treatment plan that includes transitioning to lower levels of care/discharge planning, a means to evaluate the client’s progress during treatment and measure outcomes at discharge, recommended linkages with community resources and any areas for further evaluation</li> </ul> <p><b>Approved Reporting Format:</b> The Co-Occurring Evaluation written report must be provided in the Probation-approved reporting format only as detailed on the appropriate evaluation worksheet.</p>
<b>Service Frequency</b>	The evaluation interview will take one to two (1 to 2) hours and will usually occur one time.
<b>Length of Stay</b>	Full evaluation, collateral information, and written narrative summary/evaluation report completed within thirty (30) working days of initial contact with the individual. Court-ordered evaluations must be completed and sent to the probation/problem-solving court staff member within the timeframe set by the Court.

<b>Staffing</b>	<ul style="list-style-type: none"> <li>• Physician</li> <li>• Physician Assistant (PA)</li> <li>• Advanced Practice Registered Nurse (APRN-NP)</li> <li>• Licensed Psychologist</li> <li>• Provisionally Licensed Psychologist</li> <li>• Psychological Assistant</li> <li>• Licensed Independent Mental Health Practitioner (LIMHP)</li> <li>• Licensed Mental Health Practitioner (LMHP)</li> <li>• Provisionally Licensed Mental Health Practitioner (PLMHP)</li> <li>• All providers must hold a current, valid Nebraska license through the Nebraska Department of Health and Human Services (DHHS) – Division of Public Health and must act within their scope of practice.</li> <li>• All providers must be trained in trauma-informed care, recovery principles and crisis management</li> </ul>
<b>Staff to Client Ratio</b>	1 clinician : 1 client
<b>Hours of Operation</b>	Providers are expected to be flexible in scheduling and be available evenings and/or weekends to accommodate the service needs.
<b>Service Desired Outcomes</b>	The Co-Occurring Evaluation will identify any mental health and/or substance use diagnostic impressions and provide treatment recommendations along with the clinical justification for the recommended services.
<b>Unit and Rate</b>	Per evaluation; see rate sheet