



Probation Service Definition

ADMINISTRATIVE OFFICE OF PROBATION

SERVICE NAME	Substance Use Evaluation – ASAM Level 0.5 <input checked="" type="checkbox"/> Adult <input type="checkbox"/> Juvenile
Category	Evaluation
Setting	Professional office environment, clinic, mental health substance use center, private practice, teleservice or other setting appropriate to the provision of evaluation/assessment services.
Facility License	As required by the Nebraska Department of Health and Human Services (DHHS) – Division of Public Health.
Service Description	A Substance Use Evaluation is a clinical, strengths-based evaluation of an individual experiencing substance use symptoms. This evaluation must be completed prior to the initiation of any substance use treatment services. The Substance Use Evaluation is a process of gathering information to assess client functioning and needs based on identification of the presenting problem, evaluation of past and present symptoms, formulation of a diagnostic impression (as applicable) and recommendation for treatment services/strategies. The Substance Use Evaluation will include a substance use diagnostic impression (as applicable), history of substance use symptoms, trauma history/symptoms, criminogenic risk, risk of harm to self and/or others, the individual’s perception of the situation and collateral information. Based on the evaluation, recommendations are provided and justified for appropriate behavioral health services and treatment. The Substance Use Evaluation may include a recommendation for further mental health evaluation, if supported and not already identified. The evaluation will meet all of the requirements of the Standardized Model for the Delivery of Substance Use Services. If the Simple Screening Instrument (SSI) indicates a needed referral for a Substance Use Evaluation, the probation/problem-solving court staff member is to send the results to the Registered Service Provider for inclusion in the narrative evaluation report.
Service Expectations	A Substance Use Evaluation is completed by an appropriately licensed behavioral health professional and includes the following components during the clinical interview and in the narrative report: <ul style="list-style-type: none"> • Use of the Addiction Severity Index (ASI) to structure the interview • Demographics • Presenting Problem/Primary Complaint • Medical History • Work/School/Military History • Alcohol/Drug History and Summary

	<ul style="list-style-type: none"> • Legal/Criminal History • Family/Social/Peer History • Psychiatric/Behavioral Health History • Collateral Information from at least two (2) sources • Other Diagnostic/Screening Tools – Scores and Results • Inclusion of the results of the SSI (Simple Screening Instrument) and SRARF completed by Probation • Use of and results of at least one (1) nationally accepted screening instrument • Use of the current edition of the American Society of Addiction Medicine (ASAM) Patient Placement Criteria to guide recommended treatment interventions • Clinical Impression, including diagnostic impression and findings (if applicable), amenability to treatment, internal motivation, justification for diagnosis/diagnoses or lack thereof and relationship between substance use and criminogenic risk • Recommendations, including treatment needs/needed interventions, barriers to the recommendations, client response to the recommendations, identification of who needs to be involved in the client’s treatment, treatment plan that includes transitioning to lower levels of care/discharge planning, a means to evaluate the client’s progress during treatment and measure outcomes at discharge, recommended linkages with community resources and any areas for further evaluation <p>Approved Reporting Format: The Substance Use Evaluation written report must be provided in the Probation-approved reporting format only as detailed on the appropriate evaluation worksheet.</p>
Service Frequency	The Substance Use Disorder Evaluation is completed prior to the initiation of services. A substance use addendum may be completed if determined clinically necessary to update the information in the evaluation.
Length of Stay	Full evaluation, collateral information, and written narrative summary/evaluation report completed within thirty (30) working days of initial contact with the individual. Court-ordered evaluations must be completed and sent to the probation officer within the timeframe set by the Court.
Staffing	<ul style="list-style-type: none"> • Physician • Physician Assistant (PA) • Advanced Practice Registered Nurse (APRN-NP) • Licensed Psychologist • Provisionally Licensed Psychologist • Licensed Independent Mental Health Practitioner (LIMHP) • Licensed Mental Health Practitioner (LMHP)

	<ul style="list-style-type: none"> • Provisionally Licensed Mental Health Practitioner (PLMHP) • Licensed Alcohol and Drug Counselor (LADC) • Provisionally Licensed Alcohol and Drug Counselor (PLADC) • All providers must hold a current, valid Nebraska license through the Nebraska Department of Health and Human Services (DHHS) – Division of Public Health and must act within their scope of practice. • All providers must be trained in trauma-informed care, recovery principles and crisis management
Staff to Client Ratio	1 clinician : 1 client
Hours of Operation	Providers are expected to be flexible in scheduling and be available evenings and/or weekends to accommodate the service needs.
Service Desired Outcomes	The evaluation will identify any substance use diagnoses and provide recommendations for currently needed behavioral health services to treat/stabilize the presenting mental health symptoms.
Unit and Rate	Per evaluation; see rate sheet