Corey R. Steel
State Court Administrator



**Gene Cotter**State Probation Administrator

TO: All Highly Qualified Development Firms and Enterprise Software Vendors

RE: REQUEST FOR INFORMATION – JUDICIAL CASE MANAGEMENT SYSTEM (CMS)

**ISSUE DATE:** May 01, 2025

RESPONSE DUE DATE: May 29, 2025, by 11:59 PM CDT

ISSUED BY: Corey R. Steel, State Court Administrator

Sanaz Ahmadi, Chief Information Officer

## I. EXECTUVE SUMMARY / PROJECT OVERVIEW

The Administrative Office of the Courts and Probation (AOCP), on behalf of the Nebraska Judicial Branch, is issuing this Request for Information (RFI) to explore potential solutions for implementing a fully integrated Case Management System (CMS). AOCP is considering various approaches, including custom-built platforms, Commercial Off-The-Shelf (COTS) products, or Modified Off-The-Shelf (MOTS) systems. The information collected through the RFI will be used to evaluate market capabilities, identify potential vendors, and inform a funding proposal to the Nebraska Supreme Court and Nebraska Legislature.

AOCP invites responses from qualified vendors and development firms with demonstrated expertise in designing, developing, or configuring enterprise-type case management systems, particularly for judicial, government, or justice-related environments.

#### II. RFI PURPOSE & EXPECTED OUTCOMES

This RFI is for informational and planning purposes only. It does not constitute a solicitation or a promise to issue a future Request for Proposal (RFP). Participation in this RFI is voluntary and non-binding, and no contract will be awarded as a result. A vendor's response will not impact the evaluation of submissions to any subsequent Request for Proposals (RFP), Invitation to Bid (ITB) or other solicitation opportunities.

This RFI serves as an initial and vital step in the Judicial Branch's modernization initiatives. It supports the funding strategy and competitive procurement processes by collecting information to refine business and technical requirements, evaluate the availability and suitability of CMS solutions, and identify vendors capable of meeting the Judicial Branch's development needs. The information gathered will also assist in determining the most feasible and cost-effective solution options and help create a shortlist of vendors who may be invited to participate in future engagement opportunities, product demonstrations and formal procurement activities such as a Request for Proposal (RFP) or Invitation to Bid (ITB).

#### III. BACKGROUND & CONTEXT

The Nebraska Judicial Branch oversees 93 counties and operates under a unified court system structure. The current statewide case management system, JUSTICE (Judicial User System To Increase Court Efficiency), and the appellate case management system, SCCALES (Supreme Court and Court of Appeals Legal Entry System), were developed and maintained over the past few decades to address the specific needs of Nebraska's Trial and Appellate court systems. However, these systems no longer align with modern

technological standards or evolving user demands. The outdated AS400 architecture, which relies on a traditional 5250 green screen interface and COBOL-based programming, imposes significant constraints on the electronic capture of certain criminal history information, ultimately reducing user productivity and system efficiency.

## IV. PROJECT VISION AND GOALS

The Nebraska Judicial Branch seeks a comprehensive CMS solution, either custom-built or MOTS, that can serve as an enterprise platform adaptable to a full range of case types and public-facing services. This Request for Information (RFI) supports the Judicial Branch's funding strategy, modernization initiatives, and future competitive procurement process by identifying available solutions in the market.

To support the Judicial Branch's long-term goals and modernization initiatives, the ideal CMS solution should encompass, but it not limited to, the following capabilities:

## **R1:** System Architecture & Scalability

- **Scalability Across Courts:** Must scale across all Nebraska courts, jurisdictions, and administrative divisions statewide.
- Cloud-Readiness and Hosting: Support modern public cloud hosting, infrastructure, and deployment.
- **AI/ML Integration:** Designed for long-term sustainability with adaptability to artificial intelligence (AI) and machine learning (ML) capabilities.
- Support for High Volume and Complex Case Types: Handle high transaction volumes and complex case scenarios across judicial programs.
- **Data Migration Tools:** Include reliable and secure tools to support large-scale data migration from legacy systems.
- **System Performance Monitoring:** Provide built-in diagnostics and dashboards for tracking system uptime, errors, and performance.
- Integration with Stakeholder Systems: Seamlessly integrate with existing eFiling systems and essential external systems (e.g., Crime Commission, law enforcement, probation, DMV, financial systems).
- Support for Legislative and Policy Changes: Enable quick adaptation to changes in law or policy without extensive custom development.

### **R2:** Core Case & Person Management Functions

- End-to-End Case and Person Lifecycle Management: Support initiation through resolution of cases while tracking participants involved in single or multiple cases over time.
- **Judgment and Disposition Management:** Efficiently support entry, tracking, and enforcement of judgments and dispositions.
- Calendaring and Scheduling: Provide comprehensive calendaring tools with configurable local workflows.
- **Document Management and Generation:** Facilitate document drafting, secure storage, and archival in various formats.
- Audit and Compliance Tracking: Maintain a full audit trail of user activity and system changes for transparency and accountability.
- Automation of Workflow and Notifications: Automate common tasks, such as filing confirmations, appearance reminders, and case updates.

• Administrative Configuration Tools: Offer no-code/low-code options for authorized administrators to modify workflows, forms, and rules.

### **R3:** User Experience & Interfaces

- **Public-Facing Portal:** Provide intuitive access to case information, eFiling, payments, status updates, and self-help tools.
- Role-Based User Interfaces: Tailor views and functionality for judges, clerks, attorneys, mediators, administrative users, and self-represented litigants.
- Courtroom Management Tools: Deliver real-time docket updates, digital check-ins, and in-court workflow tools.
- **Dashboards and Productivity Tools:** Include customizable dashboards, task queues, alerts, and calendars to improve staff productivity.
- Support for Language Access and ADA Compliance: Ensure accessibility for individuals with disabilities and multilingual users.
- **Mobile-Friendly Interfaces:** Enable secure access from mobile devices for both court personnel and the public.
- Embedded Help and Training Tools: Include contextual support, guided tutorials, and searchable help documentation for all users.

#### R4: Security, Governance, and Technical Standards

- Role-Based Access Control and MFA: Implement strong user authentication and permission management.
- **Data Privacy and Security Compliance:** Ensure alignment with CJIS, HIPAA, and other applicable standards.
- Logging and Monitoring: Log all system activity and provide administrative views for monitoring access and data use.
- **Interoperability Standards:** Support integration via open standards and APIs (e.g., NIEM, NODS, RESTful, XML/JSON, SOAP, MQTT, OAuth).
- **Business Continuity and Disaster Recovery:** Include architecture and tools to support regular backups, failover, and recovery operations.

### V. SCOPE OF INTEREST

While this RFI is solely for informational purposes and does not involve formal evaluation scoring, vendors are encouraged to present a vision for a scalable, sustainable, adaptable, and mature platform that evolves over time and supports the full ecosystem of Nebraska's judicial and administrative court services. AOCP is particularly interested in responses sharing information related to:

- a) COTS products, MOTS systems, or custom-built solutions
- b) Configuration capabilities (including no or low code tools)
- c) Public portal functions (self, service, access, forms, notifications)
- d) Experience with enterprise CMS deployments for multi-jurisdictional judicial systems
- e) Integration with financial management, e-filing, and external justice systems
- f) AI/ML tools and automation features offered or under development
- g) Security, compliance (e.g. CJIS, HIPPA), and audit tracking
- h) Support, training and implementation methodology

## VI. SUBMISSION GUIDELINES

- 1. Vendors are asked to provide the following information:
  - a) Company overview and qualifications
  - b) Overview of appropriate product(s) or solutions.
  - c) Description of how the solution addresses the capability requirements outlined above in Section IV. Project Vision & Goals.
  - d) Details of similar implementation efforts or relevant case studies.
  - e) Estimated implementation timeline and non-binding cost estimates.
  - f) Indicate if interest in participating in an optional scheduled vendor demonstration session
- 2. All Responses must be submitted electronically, with all attachments formatted as a PDF, no later than May 26, 2025, via Qualtrics at the following link:

#### REQUEST FOR INFORMATION - JUSTICE 2.0 INITIATIVE VENDOR RESPONSE

### VII. RFI TIMELINE

May 1, 2025 RFI opens & accepting of vendor-responses begins.

May 29, 2025 RFI closes & vendor-responses accepted until 11:59 PM CST.

June 16<sup>th</sup>-27<sup>th</sup> 2025 Scheduled Vendor Demonstrations

# VIII. RFI QUESTIONS & CONTACT INFORMATION

AOCP believes that this RFI, including the content and instructions, provides sufficient information for a response. If you have questions, please direct them to the following point of contact:

Batul Fatima
Project Manager
Nebraska Administrative Office of the Courts & Probation 521 S. 14<sup>th</sup> Street
Lincoln, NE 68509-8910
batul.fatima@nejudicial.gov

### IX. OTHER SPECIFICATIONS

1. <u>Certifications</u>. By submitting a response to this RFI, the Vendor acknowledges and agrees that this process is solely for informational purposes and is non-competitive. The Vendor further certifies that their participation is voluntary and that they fully understand and accept the terms, conditions, declarations, and requirements outlined herein.